

Application for Wholesale Dealer's Licence to supply Dangerous Drugs
危險藥物批發商牌照申請書

FOR OFFICIAL USE ONLY (不必填寫本欄)

Date: _____
Misc. Receipt No.: _____
Application Fee: _____
Checked By: _____

PART A 甲部 DETAILS OF APPLICANT 申請人資料

Name of Business (In English) _____
商號名稱 (中文) _____
Address of Business 商號地址 _____

Name of Business at the premises (if different from above)
設在該處所的商號名稱 (如與上述不同) _____
Address of premises (if different from above) 處所地址 (如與上述不同) _____

Business Registration Number 商業登記號碼 _____

Telephone No. of the premises 處所電話號碼 _____ Fax No. 傳真號碼 _____

Name of Person in charge of Business (In English)
掌管業務的負責人姓名 (英文) _____

Name of Person in charge of Business (In Chinese)
掌管業務的負責人姓名 (中文) _____ HK Identity Card No.
香港身份證號碼 _____

Post 職位 *Proprietor 東主/Partner 合夥人/Director 董事 /Others, please specify 其他, 請註明 _____

(*Delete whichever is inapplicable 請將不適用的刪去)

Applicant must nominate a person in charge of dangerous drugs 申請人必須指定一位危險藥物負責人

Name of Person in charge of Dangerous Drugs (In English) _____

危險藥物負責人姓名 (中文) _____

H K Identity Card No. 香港身份證號碼 _____ Post 職位 _____

If the person in charge of dangerous drugs is a registered pharmacist, the number of
Certificate of Registration is 如危險藥物負責人為註冊藥劑師, 其註冊證明書的號碼為 _____

Scope of Business: 業務性質

Import/ Export 進口 / 出口 Local Distribution 本銷

Storage facilities at premises 處所內之貯存設備

- locked storage room 鎖上的貯存室 (area 面積 _____ sq. m 平方米)
 additional warehouse (Please fill in Part B) 附加倉庫 (請填乙部)

[Only applicable to dangerous drugs specified in Part II of the First Schedule, barbitone, salts of barbitone and their preparations.] [只適用於第一附表的第二類危險藥物及巴比妥，其鹽類，其製劑及其鹽類的製劑。]

PART B 乙部 (FOR ADDITIONAL WAREHOUSE ONLY 附加倉庫適用)

Address of Additional Warehouse _____

附加倉庫的地址 _____

Area of Additional Warehouse 附加倉庫的面積 _____ sq. m. 平方米

Business Registration Number 商業登記號碼 _____

Name of Person in charge of Additional Warehouse
(In English) _____

掌管附加倉庫負責人姓名 (中文) _____

H K Identity Card No. 香港身份證號碼 _____ Post 職位 _____

PART C 丙部 DECLARATION OF APPLICANT 申請人聲明

We wish to apply for a Wholesale Dealer's Licence to supply Dangerous Drugs under the Dangerous Drugs Ordinance. We hereby declare that the information given in this application is true and correct.

我們欲根據《危險藥物條例》申請危險藥物批發商牌照。我們現聲明此申請書內所填報的資料，均全屬確實無誤。

Signature 簽署 _____

Company Stamp

公司蓋印

Full name of Signatory

簽署人全名 _____

Signed on behalf of

代表簽署商號 _____

(Name of business 商號名稱)

Date 日期 _____

(DO 09/2011)

**DEPARTMENT OF HEALTH
DRUG OFFICE
TRADERS LICENSING AND COMPLIANCE DIVISION**

382 Nam Cheong Street,
3/F, Public Health Laboratory Centre, Kowloon.
Tel. 2319 8467 Fax: 2147 0457

CHECKLIST

**Application for Wholesale Poisons Licence/ Antibiotics Permit/
Wholesale Dealer's Licence to Supply Dangerous Drugs**

Please submit this checklist with the following documents. If you have answered "No" to any question, please provide a written explanation.

<u>Have you submitted</u>	<u>Yes</u>	<u>No</u>
(1) A completed application form?	<input type="checkbox"/>	<input type="checkbox"/>
(2) Copy of Business Registration Certificate?	<input type="checkbox"/>	<input type="checkbox"/>
(3) Copy of Business Registration Certificate of additional storage / warehouse (if any)?	<input type="checkbox"/>	<input type="checkbox"/>
(4) (a) For limited companies :		
(i) Copy of Certificate of Incorporation <u>and</u>		
(ii) Copy of Directors' List (e.g. "Form AR1" from Companies Registry or for newly formed limited companies, photocopy of a full set of "Form NC1" or "Form NC1G")?		
<u>OR</u>		
(b) For companies run by sole proprietors : Copy of "Form 1(a)" from the Business Registration Office?	<input type="checkbox"/>	<input type="checkbox"/>
<u>OR</u>		
(c) For companies run by partners: Copy of "Form 1(c)" from the Business Registration Office?		
(5) A list including name(s) in English and Chinese, Hong Kong Identity Card number(s) and posts of sole proprietor/ partners/ directors and staff?	<input type="checkbox"/>	<input type="checkbox"/>
(6) A signed declaration of each owner (i.e. sole proprietor or partner) or director, and each key personnel (e.g. person-in-charge of western medicines) indicating whether he/she has been an owner, a director or an employee of other trader(s) of western medicines (i.e. importer/exporter, retailer, wholesaler or manufacturer, regardless whether the trader is still in business)? [If yes, please list out the relevant information, including the English name(s) of the trader(s) and the period involved]	<input type="checkbox"/>	<input type="checkbox"/>

<u>Have you submitted</u>	<u>Yes</u>	<u>No</u>
(7) Statement(s) of relevant experience of all staff members involved in western medicines ?	<input type="checkbox"/>	<input type="checkbox"/>
(8) Certifications of the above relevant experience, e.g. testimonials from previous employer(s)?	<input type="checkbox"/>	<input type="checkbox"/>
(9) Scope of Business :		
<p>(a) Import / export only Copy of document(s) showing offer for sale and purchase of antibiotics/poisons/dangerous drugs/pharmaceutical products (e.g. price quotations or proforma invoice from your supplier and buyer together with information showing ingredient(s) of the products.)</p> <p style="text-align: center;"><u>OR</u></p> <p>(b) Local distribution involved</p> <p>(i) For the applicant who is a product certificate holder of pharmaceutical product, copy of Certificate of Drug/Product Registration <u>OR</u></p> <p>(ii) For the applicant who is <u>not</u> a product certificate holder of pharmaceutical product, copy of Certificate of Drug/Product Registration <u>AND</u> exclusive agency agreement document(s)/ exclusive agency appointment letter from the product certificate holder <u>OR</u></p> <p>(iii) For the applicant dealing in non-pharmaceutical products, exclusive agency agreement document(s)/exclusive agency appointment letter from your supplier together with information showing ingredient(s) of the products?</p>	<input type="checkbox"/>	<input type="checkbox"/>
(10) Floor plan of the premises mentioned in the application form?	<input type="checkbox"/>	<input type="checkbox"/>
(11) Floor plan of the additional storage / warehouse (if any)?	<input type="checkbox"/>	<input type="checkbox"/>
<p>(12) For application for Wholesale Dealer's Licence to Supply Dangerous Drugs : Photocopy of the Certificate of Registration and Practising Certificate of the registered pharmacist supervising the transactions of dangerous drugs?</p>	<input type="checkbox"/>	<input type="checkbox"/>
<p>(13) For application for Antibiotics Permit : A crossed cheque of HK\$450 made payable to “The Government of the Hong Kong Special Administrative Region” being the statutory application fee?</p>	<input type="checkbox"/>	<input type="checkbox"/>

Dangerous Drugs Ordinance (Cap. 134)

Guidelines for Application for Wholesale Dealer's Licence to supply Dangerous Drugs

1. If a company wishes to deal in a Part I Dangerous Drug, then a registered pharmacist must be employed to handle all transactions of the Dangerous Drug. In addition to a Wholesale Poisons Licence, an application for a Wholesale Dealer's Licence to Supply Dangerous Drugs is required.

2. If a company wishes to deal in a Part II Dangerous Drug only, then an application for a Wholesale Dealer's Licence to Supply Dangerous Drugs (Part II) is required in addition to a Wholesale Poisons Licence.

3. Application forms for Wholesale Dealer's Licence to Supply Dangerous Drugs are available, free of charge, by downloading from the web site <http://www.drugoffice.gov.hk/eps/eng/html/forms.jsp> or in person during the following hours from :

Department of Health, Drug Office, Traders Licensing and Compliance Division, 382 Nam Cheong Street, 3/F., Public Health Laboratory Centre, Kowloon. Tel. 2319 8467 Fax: 2147 0457	<u>Monday to Friday</u> 9:00 a.m. to 1:00 p.m. 2:00 p.m. to 5:45 p.m. (up to 6:00 p.m. on Monday) (Closed on Saturdays, Sundays & Public Holidays)
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4. The completed application form together with the relevant documents indicated in the attached checklist should be submitted by post, by fax (2147 0457), by email (pharmgeneral@dh.gov.hk) or in person to the above address.

5. General requirements for premises:
- Only companies occupying commercial premises would normally be considered;
 - Companies occupying ground floor or retail premises would normally not be considered;
 - Companies operating in secretarial or accountancy service holding companies would not be considered; and
 - There must be adequate lockable storage room(s) for keeping dangerous drugs. Detailed requirements on the storage facilities are set out in the attached "Requirements on storage of pharmaceutical products".

6. An inspection by a pharmacist inspector will be conducted at the company's premises. Application for Wholesale Dealer's Licence to Supply Dangerous Drugs will be considered by the Director of Health. If approved, a Wholesale Dealer's Licence to supply Dangerous Drugs will be issued. The licence may contain such conditions as the Director of Health may think necessary or expedient to impose and may be revoked at any time.

7. Payment of prescribed fee HK\$860 will be required when the Wholesale Dealer's Licence to Supply Dangerous Drugs is ready for collection. Notification of payment will be sent by mail.

8. The performance pledge of the Department of Health is that applications will be approved within two months.

9. These notes are only a general guide and must not be treated as a complete or authoritative statement of the law on any particular case. Copies of the Dangerous Drugs Ordinance and its subsidiary legislation may be purchased by calling the Publications Sales Section of Information Services Department at 2537 1910 or by email at puborder@isd.gov.hk. Contents of the relevant legislation may also be found at the Department of Justice's website <http://www.legislation.gov.hk>.

Requirements on storage of pharmaceutical products

The storage condition of wholesalers and importers/exporters of pharmaceutical products must be in compliance with the following requirements:

- (1) Storage facilities must comply with the Laws of Hong Kong.
- (2) Storage facilities of pharmaceutical products must be room or rooms designated for storage of pharmaceutical products, which shall be locked with an adequate lock. The storage area of the storerooms should not be less than 100 square feet or should be proportionate to the scale of relevant business.
- (3) Precautions must be taken to prevent unauthorized persons from entering storeroom.
- (4) Storeroom should be of sufficient capacity to allow the orderly storage of the various categories of pharmaceutical products, namely products in quarantine, and released, rejected, returned or recalled products.
- (5) Storeroom should be designed or adapted to ensure good storage conditions. In particular, they should be clean and dry and maintained within acceptable temperature and humidity limits. Pharmaceutical products should be stored off the floor and suitably spaced to permit cleaning and inspection. Pallets should be kept in a good state of cleanliness and repair.
- (6) Storeroom should be clean, and free from accumulated waste and vermin. A written sanitation programme should be available indicating the frequency of cleaning and the methods to be used to clean the premises and storeroom. There should also be written programme for pest control. The pest-control agents used should be safe, and there should be no risk of contamination of pharmaceutical products. There should be appropriate procedures for the clean up of any spillage to ensure complete removal of any risk of contamination.
- (7) Receiving and dispatch area should protect products from the weather. Receiving area should be designed and equipped to allow incoming containers of pharmaceutical products to be cleaned, if necessary, before storage.
- (8) Where quarantine status is ensured by storage in separate areas, these areas must be clearly marked and their access restricted to authorized personnel. Any system replacing physical quarantine should provide equivalent security.
- (9) Physical or other equivalent validated (e.g. electronic) segregation should be provided for the storage of rejected, expired, recalled, or returned products. The products and area concerned should be appropriately identified.
- (10) Radioactive materials, dangerous drugs, psychotropic substances, and cytotoxic drugs should be stored in dedicated areas that are subject to appropriate additional safety and security measures.
- (11) Pharmaceutical products should be handled and stored in such a manner as to prevent contamination, mix-ups and cross-contamination.
- (12) A system should be in place to ensure that pharmaceutical products due to expiry first are sold and/or distributed first. Exceptions may be permitted as appropriate, provided that

adequate controls are in place to prevent the distribution of expired products.

- (13) Rejected pharmaceutical products should be identified and controlled under a quarantine system designed to prevent their use until a final decision is taken on their fate.
- (14) Broken or damaged items should be stored separately from usable stock and disposed properly.
- (15) Storeroom should be provided with adequate lighting to enable all operations to be carried out accurately and safely.
- (16) Storage conditions for pharmaceutical products should be in compliance with the instructions on the label, which are based on the results of stability testing.
- (17) Recorded temperature and humidity monitoring data should be available for review. The equipment used for monitoring should be checked at suitable predetermined intervals and the results of such checks should be recorded and retained. All monitoring records should be kept for at least the shelf-life of the stored pharmaceutical product plus one year. Temperature and humidity mapping should show uniformity of the temperature and humidity across the storage facility. It is recommended that temperature and humidity monitors be located in areas that are most likely to show fluctuations.
- (18) Equipment used for monitoring of storage conditions should be calibrated and maintained at defined intervals. Relevant records should be kept and available for inspection by Department of Health.
- (19) Any non-compliance of storage condition detected should be reported to Department of Health.
- (20) Periodic stock reconciliation should be performed by comparing the actual and recorded stocks.
- (21) All significant stock discrepancies should be investigated to check that there have been no advertent mix-ups, incorrect issue and/or misappropriation of pharmaceutical products.
- (22) Any breakage of security, or any unexplainable stock discrepancy should be reported to Department of Health.
- (23) All facilities for the storage of poisons should be licensed or approved and have proper security control.

Statement of Purposes

Purpose of Collection

This personal data are provided by licence applicants for the purposes of application for licences under the Pharmacy and Poisons Ordinance, the Antibiotics Ordinance and the Dangerous Drugs Ordinance. The personal data provided will be used by DH for the following purposes:

- (a) Proof of eligibility for a licence
- (b) Assessment of whether the applicant is a fit and proper person to be granted a licence

2. The provision of personal data is voluntary. If you do not provide sufficient information, we may not be able to prove your eligibility for a licence, or to assess whether you are a fit and proper person to be granted a licence.

Classes of Transferees

3. The personal data you provide are mainly for use within DH and the Pharmacy and Poisons Board. Apart from this, the data may only be disclosed to parties where you have given consent to such disclosure or where such disclosure is allowed under the Personal Data (Privacy) Ordinance.

Access to Personal Data

4. You have a right of access and correction with respect to personal data as provided for in sections 18 and 22 and Principle 6 of Schedule 1 of the Personal Data (Privacy) Ordinance. Your right of access includes the right to obtain a copy of your personal data. A fee may be imposed for complying with a data access request.

Enquiries

5. Enquiries concerning the personal data provided, including the making of access and corrections, should be addressed to:

Senior Pharmacist
Traders Licensing and Compliance Division
Drug Office
Department of Health
3/F., Public Health Laboratory Centre
382, Nam Cheong Street, Kowloon.

Tel: 2319 8467